



**UNIVERSITY OF ENGINEERING AND MANAGEMENT,  
KOLKATA**

**SUSTAINABLE INVESTMENT POLICY**

**University Campus**

University Area, Plot No. III, B/5, New Town Rd, Action Area III, Newtown, Kolkata,  
West Bengal 700160



*Consent*  
11/11/2024

## INDEX

S. No.	Particulars	Page
1	Introduction	3
2	Objectives	3
3	Scope	3
4	ESG Integration Strategy	4
4.1	Responsible Screening	4
4.2	Impact and Thematic Investing	4
4.3	Active Ownership and Engagement	4
5.	Monitoring, Reporting, and Transparency	5
6.	Approving Authority	5
7.	Review of Policy	5
8.	Compliance	5



## SUSTAINABLE INVESTMENT POLICY

This policy is called the Sustainable Investment Policy and outlines UEMK's commitment to responsible and sustainable investment practices, aligned with its mission and environmental, social, and governance (ESG) principles.

### 1. Introduction

University of Engineering and Management, Kolkata is committed to responsible and sustainable investment practices that align with our values, mission, and environmental, social, and governance (ESG) principles. This policy ensures that our investment strategies support long-term financial growth while promoting sustainability, ethical responsibility, and social impact.

### 2. Objectives

The objectives of this policy are to:

- Align UEMK's investments with sustainability goals, including climate action and social equity.
- Mitigate financial risks associated with climate change, resource depletion, and unethical corporate practices.
- Promote investments in sustainable industries, clean technology, and socially responsible enterprises.
- Uphold transparency and accountability in investment decisions.

### 3. Scope

This policy applies to UEMK's endowment, pension funds, and other investment portfolios managed directly or through external asset managers. It covers equities, bonds, real estate, and alternative investments.



#### **4. ESG Integration Strategy**

The University will integrate ESG factors into investment decision-making through the following approaches:

##### **4.1 Responsible Screening**

- 4.1.1 Avoid investments in industries that contradict university values, such as fossil fuels, tobacco, weapons, and companies with poor human rights records.
- 4.1.2 Implement positive screening to prioritize investments in companies with strong ESG performance.

##### **4.2 Impact and Thematic Investing**

- 4.2.1 Support investments in renewable energy, climate solutions, sustainable agriculture, and social enterprises.
- 4.2.2 Promote equity and inclusion by investing in diverse-led businesses and community-driven projects.

##### **4.3 Active Ownership and Engagement**

- 4.3.1 Use UEMK's influence as an investor to advocate for stronger ESG practices in the companies we invest in.
- 4.3.2 Engage with asset managers and companies to encourage responsible business practices.
- 4.3.3 Vote on shareholder resolutions that align with sustainable investment principles.



## **5. Monitoring, Reporting, and Transparency**

- Conduct annual ESG performance assessments of UEMK's investment portfolio.
- Publish a Sustainable Investment Report detailing progress, impact, and compliance with this policy.
- Maintain an open dialogue with students, faculty, and stakeholders on sustainable investment practices.

## **6. Approving Authority**

Vice Chancellor shall be the approving authority for implementing actions related to sustainable investments.

## **7. Review of Policy**

- The UEMK Investment Committee will oversee ESG integration and ensure compliance with this policy.
- The Office of the Sustainability and Finance Department will collaborate to implement and refine investment strategies.
- Establish a Student & Faculty Advisory Group to provide input on sustainable investment priorities.

This policy will be reviewed and updated periodically to reflect best practices, new ESG developments, and stakeholder feedback.

## **8. Compliance**

Registrar/Deans/HoDs will implement the policy guidelines. Compliance may be reported in form of periodic report on the measures taken for sustainable investments. Internal Green Audit Committee will conduct a periodic sustainability audit and external audit periodically through competent auditors to ensure compliance of the policy.